

# Mortalin/Hspa9 involvement and therapeutic perspective in Parkinson's disease

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## Abstract

By controlling the proper folding of proteins imported into mitochondria and ensuring crosstalk between the reticulum and mitochondria to modulate intracellular calcium fluxes, Mortalin is a chaperone protein that plays crucial roles in neuronal homeostasis and activity. However, its expression and stability are strongly modified in response to cellular stresses, in particular upon altered oxidative conditions during neurodegeneration. Here, we report and discuss the abundant literature that has highlighted its contribution to the pathophysiology of Parkinson's disease, as well as its therapeutic and prognostic potential in this still incurable pathology.

**Key Words:** chaperone; Hspa9; mitochondria; Mortalin; neurodegeneration; oxidative stress; Parkinson's disease; prognostic and therapeutic potential

## Introduction

Mortalin (also called Hspa9, mt-Hsp70, Grp75, Pbp74, Mot-2) is a heat-uninducible member of the 70-kDa heat shock protein (HSP70) family. Its expression has been shown to be regulated by different cellular stresses such as glucose deprivation, oxidative injury, ionizing radiation, and calorie restriction (Kaul et al., 2007). Despite being predominantly confined to the mitochondrial matrix, studies have shown multiple subcellular localizations of Mortalin including endoplasmic reticulum (ER), cytoplasmic vesicles, and cytosol for some immortalized cell lines only, evoking distinct roles and binding partners in each of these compartments (Bhattacharyya et al., 1995; Ran et al., 2000; Londono et al., 2012). The locations and functions of Mortalin are featured in **Figure 1** and will be further detailed throughout this review article.

Within mitochondria, Mortalin interacts with inner mitochondrial lipid bilayers containing cardiolipin (Dores-Silva et al., 2020). Mortalin has been identified as the only ATPase component of the mitochondrial import complex and is required for translocation of most inner membrane or matrix proteins (Schneider et al., 1994; Brunner et al., 1995; Geissler et al., 2001). In association with Hsp60, Mortalin is especially involved in the detection of damaged or dysfunctional proteins to prevent their accumulation into mitochondria and proceed to either proper folding or degradation (Wadhwa et al., 2005; Rosenzweig et al., 2019). Indeed, non-physiological temperatures or chemical modifications such as oxidation by reactive oxygen species (ROS) can functionally and/or physically alter proteins integrity. Misfolded proteins are prone to form abnormal or irregular interactions due to the exposure of their normally buried hydrophobic parts. By establishing stable interaction with these hydrophobic surfaces, Mortalin can prevent protein concretion and thus toxic accumulation of insoluble aggregates (Havalová et al., 2021).

How Mortalin reaches ER rather than mitochondria is not yet understood. Nonetheless, extramitochondrial Mortalin was found to interact simultaneously with both the ER-membrane protein inositol trisphosphate receptor 1 (IP3R1) and the mitochondrial outer membrane protein voltage-dependent anion channels 1 (VDAC1), thereby functioning as a tethering factor between those 2 compartments (Szabadkai et al., 2006). ER-mitochondria membrane contacts, also called "mitochondria-associated endoplasmic reticulum membranes" (MAMs), are essential for the regulation of intracellular calcium flux, which is particularly important for neurons as it contributes to the spread of the depolarizing signals and to synaptic activity [for review: (Brini et al., 2014)]. Bringing together metabolic and signaling factors that potentiate glucose oxidation, mitochondrial respiration as well

as citrate production, MAMs represent bioenergetic hubs that modulate the function of tricarboxylic acid cycle dehydrogenases and mitochondrial respiration (Theurey and Rieusset, 2017), which are systematically hampered in neurodegenerative disorders (Johri and Chandra, 2021).

Considering its different functions, Mortalin appears as a prime actor in cellular homeostasis, metabolic modulation, and oxidative stress sensing. Hence, deregulation of Mortalin activity in humans underlies the pathologies of several diseases including cancer, diabetes, cardiovascular diseases, atherosclerosis, stroke, inflammatory disorders, chronic fatigue syndrome, asthma, and neurodegenerative diseases [see Flachbartova and Kovacech (2013) for a general review]. Mutations in the gene encoding Mortalin are responsible for the development of EVEN-PLUS syndrome, a rare congenital pathology characterized by epiphyseal and vertebral dysplasia and abnormalities of the ears and nose (Royer-Bertrand et al., 2015). However, there is little evidence that genetic alterations of the Mortalin gene are involved in cancer, metabolic or neurodegenerative pathologies, for which we rather find variations of its post-translational modifications (Nitika et al., 2020; Havalová et al., 2021) and expression level (see Part Modulating Mortalin levels in neurons).

## Search Strategy and Selection Criteria

Studies cited in this review were searched on PubMed (NCBI) and Google databases, using the following keywords: Hspa9, Mortalin, Grp75, Parkinson disease, synuclein, DJ1, mitochondria, MPTP, 6-OHDA, oxidative stress. We focused on publications from the last 5 years and only returned to older publications when necessary (first discovery or analysis). These searches were performed between September 2021 and April 2022.

## Evidence of Mortalin Implication in Parkinson's Disease Pathophysiology

Among other high-energy demanding cells, neurons are particularly sensitive to misfolded protein accumulation, ROS overproduction, and calcium fluxes impairment. Neurons are also poorly renewed in adult organisms. Since Mortalin is implicated in each of those hallmarks of archetypal neurodegeneration, it is not surprising that changes in Mortalin activity or expression level modify neuronal outcomes in several models of neurodegeneration, including glutamate or amyloid  $\beta$  mediated toxicity (Qu et al., 2011; Flachbartova and Kovacech, 2013; Park et al., 2014; Sharma and Kaur, 2018). Nevertheless, Mortalin plays a particular role in the specific case of Parkinson's disease (PD), and this is the object of the present bibliographic analysis.

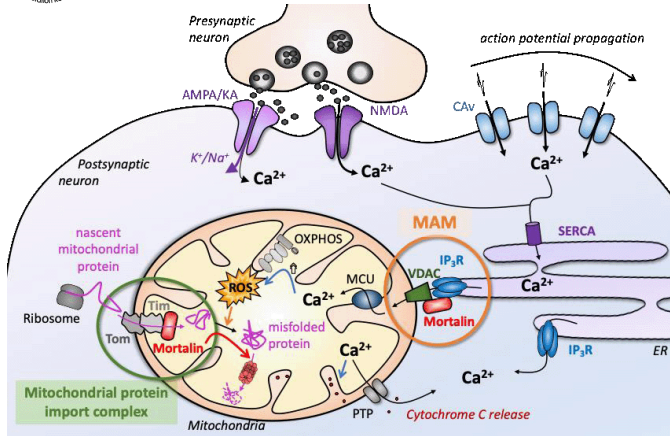
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**Figure 1 | Mortalin functions in neurons under physiological conditions.**

Mortalin functions depend on its subcellular localization and protein partners. Its two main functions rely on (1) tethering ER and mitochondrial membranes (MAM, in orange) that mostly enable intracellular calcium storage and fluxes, and (2) controlling the quality of proteins imported into the mitochondrial matrix, in association with the Tom/Tim mitochondrial import complexes (green). AMPA:  $\alpha$ -Amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid; Cav: voltage-gated calcium channels; ER: endoplasmic reticulum; IP3R: inositol trisphosphate receptor; KA: kainate; MAM: mitochondria-associated endoplasmic reticulum membranes; MCU: mitochondrial calcium uniporter; NMDA: N-methyl-D-aspartate; OXPHOS: oxidative phosphorylation; PTP: permeability transition pore; ROS: reactive oxygen species; SERCA: sarco/endoplasmic reticulum  $Ca^{2+}$ -ATPase; Tim: translocase of the inner membrane; Tom: translocase of the outer membrane; VDAC: voltage-dependent anion channel.

PD is a chronic neurodegenerative pathology that first affects dopaminergic (DA) neurons of the nigrostriatal pathway, before spreading to other regions of the central nervous system. The alteration of this neuronal pathway causes the typical motor symptoms of the disease: tremor, bradykinesia, and rigidity. PD occurs sporadically in over 90% of cases, resulting from a combination of genetic predispositions and environmental factors, including age and intoxication with mitochondrial toxins such as rotenone or paraquat (Elbaz and Moisan, 2008). However, some cases have an autosomal monogenic origin, with mutations mainly in the genes of  $\alpha$ -synuclein, parkin, PTEN-induced kinase 1 (PINK1), deglycase J-1 (DJ-1), or leucine-rich repeat kinase 2 (LRRK2). Interestingly, these proteins are all directly or indirectly linked to mitochondria [for a broad review of monogenic cases of PD see (Jia et al., 2022); for a more in-depth review of PD genetics see (Lanore et al., 2022)]. Likewise, in both sporadic and monogenic cases of PD, DA neurons display high oxidative stress and mitochondrial dysfunction [for review about mitochondria dysfunctions in PD see (Borsche et al., 2021)] together with the neurotoxic agglomeration of  $\alpha$ -synuclein in cellular inclusions of protein aggregates called Lewy bodies [for review about  $\alpha$ -synuclein pathophysiology see (Ottolini et al., 2017)].

While rare Mortalin variants were only identified in a few PD patients for whom it has been considered the main contributor to the disease (Burbulla et al., 2010; Larsen et al., 2018), these variants were never recognized as monogenic familial origins of PD. Screening of additional cohorts of PD patients did not uncover any Mortalin mutation significantly associated with PD (Freimann et al., 2013; Chung et al., 2017). Hence, mutations in the *HSPA9* gene are not usually considered to be among the major causes of familial PD. Nonetheless, several studies describe altered levels of Mortalin in the brain and blood of PD patients. Mortalin being a chaperone protein, which is crucial for proper mitochondrial function, and given the abundant literature on this topic, it is relevant to take an interest in its contribution in the context of PD.

Low Mortalin amounts were first revealed in post-mortem brains of PD patients and people suffering from Parkinsonism due to manganese exposure (Jin et al., 2006; Cook, 2014). Manganese and rotenone, which are known to be environmental factors to PD, indeed directly target mitochondria and cause a reduction of Mortalin amount in the mitochondrial matrix (Cook, 2014; Ferré et al., 2021). Hence, Mortalin depletion in the brain might be an aggravating factor in PD as a consequence of exposure to environmental toxicants.

As a matter of fact, it has also been shown that Mortalin interacts with proteins associated with familial forms of PD. In 2011, Rakovic et al. identified Mortalin (Grp75) as a binding partner of Pink1, using a tandem affinity purification assay. PINK1 is involved in mitophagy, the mitochondrial quality control system, which is strongly impaired in all forms of PD. Direct interactions between Mortalin and both  $\alpha$ -synuclein and DJ-1 were initially described, albeit without any functional enlightenment (Jin et al., 2007). DJ-1, like Mortalin, is involved in the response to oxidative stress and is thought to participate in  $\alpha$ -synuclein aggregation in Lewy bodies and DA neurons degeneration (Bonifati et al., 2003; Hijioka et al., 2017; Havalová et al., 2021). In line with this, agglomerated  $\alpha$ -synuclein is able to bind to Mortalin (Jin et al., 2007), likely impeding its normal function and leading to its proteasomal degradation. This might somewhat explain  $\alpha$ -synuclein toxicity towards mitochondria (Grassi et al., 2018). Taken together, these *in vitro* data suggest that Mortalin could be a relevant actor in PD pathophysiology, which was

further established using animal models of PD (see Part Insights about Mortalin roles in PD using animal models).

Besides, it was shown that dopamine metabolites can form adducts with Mortalin (Van Laar et al. 2009). Dopamine metabolites in general are highly neurotoxic and can form adducts with many proteins, disrupting their functions (Segura-Aguilar et al. 2014). This is why dopamine metabolism has to be strictly regulated in order to avoid triggering a vicious cycle of oxidative stress, protein damage, and mitochondrial dysfunction. Although dopamine-Mortalin adducts toxicity was not further examined, this might explain both the specific vulnerability of DA neurons in PD and other forms of Parkinsonism, together with the strong implication of Mortalin in this particular neurodegenerative pathology.

## Dissecting the Role of Mortalin in Parkinson's Disease Animal Models

Various animal models have been designed in mammals (mostly rodents but also small monkeys and non-human primates), flies or zebrafish, in order to reproduce and study the pathologic hallmarks of PD [for review: (Chia et al., 2020)]. Some models are obtained using toxicants such as paraquat and rotenone, which disrupt the mitochondria and generate oxidative stress. Among them, 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) and 6-Hydroxydopamine (6-OHDA) are more widely used due to their specificity for the dopamine transporter, which ensures effective DA neurons targeting while sparing the other neurons (Simola et al., 2007; Mustapha and Mat Taib, 2021). These models have been used for decades to study the molecular and cellular mechanisms of PD, and some of them highlighted a major involvement of Mortalin.

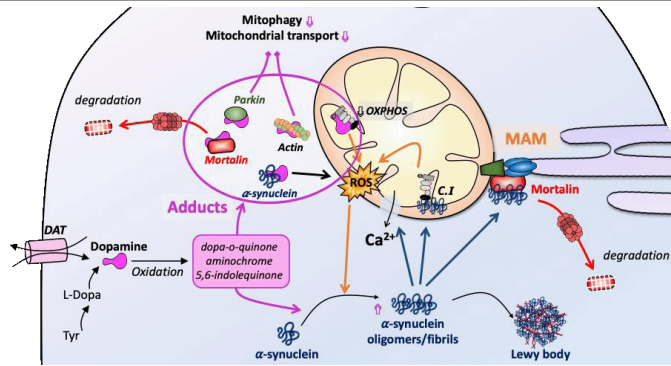
Shen et al. (2005) used MPTP intoxication in mice to study the role of Mortalin in PD. MPTP is a lipophilic drug, which can cross the blood-brain barrier after systemic administration in mice. It is then metabolized by the MAO-B enzyme into MPP<sup>+</sup>, which is lipophobic and enters the DA neurons through the dopamine transporter DAT. MPP<sup>+</sup> inhibits the mitochondrial complex I, which causes oxidative stress, the release of cytochrome C, and apoptosis, similarly to oligomerized  $\alpha$ -synuclein (Grassi et al., 2018). In their study, Shen et al. found that a pre-treatment with geldanamycin, which elevates Mortalin levels in the central nervous system (CNS), mitigates DA denervation in the striatum following MPTP intoxication. However, MPTP treatment does not induce changes in CNS Mortalin by itself, as opposed to what Chiasserini et al. (2011) observed using the 6-OHDA model in rats. This could be explained by the fact that MPTP causes a less severe phenotype than 6-OHDA. The 6-OHDA rat model consists of a stereotaxic injection of the drug 6-OHDA directly into one of the rat's dorsal striata. Based on proteomics analyses, Chiasserini et al. indeed observed a 25% decrease in Mortalin expression in the 6-OHDA rats' striata when compared to controls, which was recently confirmed *in vitro* by Magalingam et al. (2022). Furthermore, using the Mortalin inhibitor MKT-077 on *ex vivo* brain slices, Chiasserini et al. demonstrated that Mortalin inhibition decreases field potential amplitude in the striatum and depolarizes the medium spiny neurons of the striatum. Those effects were enhanced in 6-OHDA injected rats. Hence, Mortalin might be important for maintaining the intracellular homeostasis required for the normal electrophysiological activity of neurons. Indeed, mitochondria, being calcium storage compartments, help regulate calcium homeostasis (see Part The crucial role of Mortalin at the ER-mitochondria contact sites). Elevated intracellular calcium levels due to mitochondrial dysfunction (following mortalin inhibition) could result in depolarization and abnormal excitability in medium spiny neurons, as what Chiasserini et al. observed. This could be extrapolated to cause excitotoxicity in the already vulnerable DA neurons, in the context of PD.

Zhu et al. (2013) used Mortalin interfering RNA to study its role in *Drosophila* neurons. They found that silencing Mortalin expression in either all neurons or DA neurons specifically was lethal to larvae, whereas silencing in muscle cells only did not affect viability. A milder reduction of Mortalin levels was not lethal but reduced lifespan, and induced abnormal body posture and impaired locomotion, reminiscent of bradykinesia and postural defects observed in PD patients. At the cellular level, an increased proportion of small round mitochondria was observed, associated with increased autophagy and mitophagy. Thus, lowering Mortalin function in *Drosophila* is sufficient to motor symptoms reminiscent of PD.

Altogether, data obtained from different animal models of PD suggest that neuronal degeneration is concomitant with a drop in Mortalin expression, analogous to what is observed in patients. Conversely, Mortalin overexpression in animal models is protective, suggesting that Mortalin might hamper the molecular mechanisms of DA degeneration.

## Mortalin and the Molecular Mechanisms of Dopaminergic Neurons Degeneration

Loss of Mortalin causes characteristic changes in mitochondria morphology in various model organisms highlighting the importance of Mortalin in cellular homeostasis. Mortalin expression variations are associated with the preservation of normal cellular functioning, through anti-apoptotic and pro-proliferative properties as well as modulation of mitochondrial dynamics and functions. Tight control of Mortalin expression seems to be critical overall for the cellular fate and more particularly to mitochondrial homeostasis. **Figure 2** recapitulates the following descriptions.



**Figure 2 | Implication of Mortalin in the degenerative DA neuron during PD.**

Intrinsic dopamine metabolites and synuclein aggregation generate a ROS overload in the DA neurons of PD patients, which is further augmented with the inhibition of the mitochondrial electron transport chain (OXPHOS). Dopamine metabolites and synuclein aggregates also trigger Mortalin degradation, which amplifies the impact of oxidative stress in neuronal homeostasis. C.I: Mitochondrial respiratory complex I; DAT: dopamine transporter; L-Dopa: levodopa; MAM: mitochondria-associated endoplasmic reticulum membranes; OXPHOS: oxidative phosphorylation; ROS: reactive oxygen species; Tyr: tyrosine.

### Mortalin controls mitochondrial respiration and hampers reactive oxygen species overload

Dysfunctions of the mitochondrial respiratory chain are observed in all forms of PD, in particular the mitochondrial complex I, which is inhibited by both  $\alpha$ -synuclein oligomers and environmental toxins (Haelterman et al., 2014). Interestingly, Mortalin plays a key role in maintaining the functional integrity of mitochondria respiratory chain by limiting electron leakage from complex I, probably through both its interactions with Tid1 (Hsp40) and Mouse Double Minute 2 (Arena et al., 2018) and its role in DJ-1 translocation to mitochondria (Zhou et al., 2020). Overexpression of Mortalin in catecholamine producing pheochromocytoma-derived (PC12) cells was found to be cytoprotective from metabolic stress such as glucose deprivation (Liu et al., 2005). Actually, a high Mortalin level was shown to stabilize respiratory chain complexes and subsequently preserve mitochondrial bioenergetic function without inducing excessive production of ROS. High Mortalin levels were linked with DJ-1 translocation to mitochondria, where DJ-1 interacts with proteins from the respiratory complex I (ND1 and ND4A4) and stabilizes its activity while lowering ROS production (Zhou et al., 2020). Liu et al. (2005) also suggest that Mortalin interaction with antioxidant defenses may participate in the regulation of oxidative stress upon hypoglycemic condition. Two studies confirmed this hypothesis after ischemic brain injury or ischemia/reperfusion in neonatal rat cardiac myocytes (Williamson et al., 2008; Xu et al., 2009). In these models, Mortalin overexpression induced a reduction in infarct volume, with decreased ROS levels associated with a preserved function of the respiratory chain and increased ATP production and cell viability. The authors also observed a specific increase of manganese superoxide dismutase expression, the main mitochondrial antioxidant factor, hence comforting better management of ROS.

In DA neurons, dopamine degradation is a highly toxic process, which generates toxic metabolites and ROS (Segura-Aguilar et al., 2014). Under physiological conditions, dopamine catabolism mostly occurs inside vesicles; however, the basal level of oxidative stress in DA neurons is always quite high. In the PD context, oligomeric aggregates of  $\alpha$ -synuclein can create pores in intracellular membranes, notably causing dopamine leakage (Ottolini et al., 2017). Conversely, high oxidative stress promotes  $\alpha$ -synuclein aggregation (Ischiropoulos and Beckman, 2003), creating a vicious circle of accumulative oxidative stress in degenerative DA neurons, which further affects nucleic acid, lipids, and protein stability, and thus neuronal activity and defenses (Zhao et al., 2017). As a matter of fact, Mortalin was identified as a key oxidation-sensitive cellular chaperone (Choi et al., 2004). Upon induced ROS overproduction or  $H_2O_2$  treatment, Mortalin functional knockdown leads to mitochondrial membrane potential collapse and ROS accumulation, which is partially rescued by Parkin overexpression (Burbulla et al., 2010). Indeed, ROS overload promotes the translocation of Parkin to mitochondria where it interacts with Mortalin, suggesting a common role in the quality control of these organelles (Yang et al., 2011). This hypothesis was confirmed a few years later with the demonstration that Pink1 and Parkin both lose their ability to rescue the Mortalin deficiency phenotype in the presence of inhibitors of autophagic machinery (Burbulla et al., 2014). Using a model of PD disease in *Drosophila*, Zhu et al. (2013) also reported reduced ATP levels and accumulation of fragmented mitochondria upon Mortalin homolog (Hsc70-5) knockdown in DA neurons. Altogether, those studies suggest a crucial role of Mortalin in sensing ROS accumulation and engagement of the Pink1/Parkin pathway of mitochondria quality control.

### Mortalin modulates mitochondrial dynamics impairment during neurodegeneration

In PD, such as in most degenerative diseases, mitochondria functional damage comes along with impairment of mitochondrial morphology and biogenesis. Mitochondria are not isolated organelles but rather form a dynamic network whose interconnectedness relies on their ability to fuse and split to respond

rapidly to fluctuations in metabolic demand, based on cellular physiological state. These continuous mitochondrial morphology changes, called mitochondrial dynamics, control the cellular management of stresses, allow quick adjustments to energy needs and direct the survival/death decision through the recruitment of the Bcl-2 pro-apoptotic factors at the outer membrane and the release of cytochrome C from cristae intermembrane space [see Adebayo et al. (2021) for a recent review about mitochondrial dynamics implication in cellular homeostasis]. Alterations of fission/fusion balance have major deleterious effects on neurons, and neuronal degeneration is associated with mitochondrial dynamics impairments for the majority of neurodegenerative diseases [see Bertholet et al. (2016) for a review on the mitochondrial dynamics and neurodegenerative diseases].

The implication of Mortalin on mitochondrial dynamics was first highlighted with the Mortalin homolog in yeast, SSC1, in which loss of function was associated with mitochondria aggregation (Kawai et al., 2001). However, ultrastructural features of cristae and tubular thread-like structure of the mitochondria were still maintained in yeast. Contrariwise, we and others demonstrated that modifications of Mortalin expression level have direct effects on the tubulation of the mitochondrial network, through a regulation of mitochondrial fission (Yang et al., 2011; Zhu et al., 2013; Park et al., 2014; Liu et al., 2015; Ferré et al., 2021). In *Drosophila*, Mortalin downregulation was shown to decrease mitochondria density and ATP supply at the synapses (Zhu et al., 2013). Using murine primary neuronal cultures, we also observed that interference with Mortalin expression induced a fragmented mitochondrial network and poor ability to manage oxidative stress (Ferré et al., 2021). Oppositely, overexpression of Mortalin induced over-filamentation of mitochondria and conferred protection against axonal degeneration mediated by rotenone exposure. By which molecular mechanisms Mortalin affects mitochondrial morphology remains unclear, but modulation of Mortalin expression comes along with modification of Drp1 (dynamin-related protein 1) activity, the main actor of mitochondrial fission (Park et al., 2014; Ferré et al., 2021). However, the fusogenic functions of OPA1, which also shapes cristae, might also rely on Mortalin. An imbalanced stoichiometry of mtHsp40 and Mortalin in the mitochondrial matrix was indeed shown to provoke Opa1 cleavage, which both impedes proper Opa1 functioning and stimulates the fission activity of Drp1, leading to mitochondrial fragmentation (Lee et al., 2015). In line with this, both Drp1 and Opa1 might participate in Mortalin depletion-mediated mitochondria fragmentation in *Drosophila* since either overexpression of Opa1 or down-regulation of Drp1 was able to rescue mitochondrial phenotype (Zhu et al., 2013). Hence, Mortalin is not only involved in the quality control of mitochondrial proteins but also represents a major administrator of mitochondrial morphology and proper functioning.

### Mortalin is required for endoplasmic reticulum and mitochondria membrane tethering

Neuronal activity, DA neurons tonic activity, is coupled to a large  $Ca^{2+}$  entry, which is amplified upon oxidative stress. Induced overload of intracellular  $Ca^{2+}$  is firstly stored in the ER and then partially transferred to mitochondria through the MAMs junctions (Marchi et al., 2014). MAMs formation and mitochondrial  $Ca^{2+}$  uptake strongly rely on mitochondrial dynamics (Friedman et al., 2011; Marchi et al., 2014) and are altered in most neurodegenerative pathologies, especially PD [see Johri and Chandra (2021) for a general review of MAMs and neurodegeneration]. This could both disturb intracellular calcium signaling pathways, including metabolism and transcription, and modulate mitochondrial bioenergetics capacities (Brini et al., 2014).

Interestingly, extramitochondrial Mortalin was shown to be mostly associated with ER, acting as a “bridge” through covalent interactions with proteins from both ER (IP3R) and outer mitochondrial (VDAC1) membranes (Szabadkai et al., 2006). Mortalin is crucial to maintain the association between ER and mitochondria since knockdown of the chaperone was associated with decreased contact sites numbers (Honrath et al., 2017). Conversely, Mortalin overexpression decreases steady-state ER  $Ca^{2+}$  concentration (Szabadkai et al., 2006), suggesting a role of Mortalin in  $Ca^{2+}$  transfer flux from ER to mitochondria. However, Honrath et al. (2017) observed that overexpression of Mortalin sensitized cells to oxidative stress by increasing contacts between ER and mitochondria, while decreased expression of Mortalin was found to be protective against glutamate-induced cell death, by disconnecting both organelles. They suggest that Mortalin silencing might modulate energetic metabolism with decreased mitochondrial respiration rate, and thus limited mitochondrial ROS formation. Variation in Mortalin expression is here thought to modify ER-mitochondria coupling, thereby maintaining mitochondrial calcium homeostasis. In this context, mitochondrial dysfunctions were attributed to an upregulation of Mortalin expression, which appears quite inconsistent with the assumption of a protective role of Mortalin in degenerative neurons. Actually, Mortalin was recently shown to be locally translated at the axon injury site following axotomy, which increases ER-mitochondria tethering and promotes axon regeneration (Lee et al., 2019). Hence, the precise role of Mortalin expression and MAMs function in degenerative neurons remains partly ambiguous.

The implication of extramitochondrial Mortalin in the specific context of PD was supported by recent demonstrations of the involvement of DJ-1 and  $\alpha$ -synuclein in MAMs stabilization and function (Guardia-Laguarta et al., 2015; Liu et al., 2019; Basso et al., 2020; Erustes et al., 2021).  $\alpha$ -Synuclein was previously shown to be located at mitochondria from where it would modulate calcium homeostasis by enhancing ER-mitochondria contact (Cali et al., 2012). Nevertheless, wild-type  $\alpha$ -synuclein is naturally and specifically located at MAMs, while mutant  $\alpha$ -synuclein (familial forms of PD) and

aggregates disconnected from the ER-mitochondria contact sites (Guardia-Laguarta et al., 2015). Moreover, the overexpression of PD-associated  $\alpha$ -synuclein mutants was found to disturb the IP3R-Mortalin association thereby decreasing the number of MAMs and thus  $Ca^{2+}$  trafficking towards mitochondria (Erustes et al., 2021). Besides, Liu et al. (2019) established the association of DJ-1 with the IP3R-Mortalin-VDAC-1 complex. DJ-1 knockout impaired the interactions between both organelles, as well as IP3R homeostasis and mitochondrial  $Ca^{2+}$  uptake. The authors suggest that DJ-1 might stabilize the calcium channel formed by IP3R and VDAC1, thereby regulating mitochondria and neuronal homeostasis and function, which was confirmed shortly after by Basso et al. (2020). Lastly, DJ-1 translocation to mitochondria was found to be dependent on its association with Mortalin (Zhou et al., 2020).

DJ-1 translocation to mitochondria and  $\alpha$ -synuclein aggregation being key features of PD, the involvement of Mortalin in ER-mitochondria tethering might be of crucial importance in the course of PD pathophysiology.

## Targeting Mortalin as a Therapeutic Approach to Parkinson's Disease

Undoubtedly, Mortalin is essential for mitochondrial function and neuronal homeostasis. At the same time, Mortalin seems to be gradually depleted in the CNS over the course of PD. Further studies will be needed to understand exactly how and why this happens, but preserving Mortalin function in the brain could be a relevant research axis in order to find a way to slow the progression of PD.

### Modulating Mortalin levels in neurons

Although Mortalin is not a heat-inducible chaperone, its expression is upregulated in response to stress, especially ROS overproduction. Several studies (see above) pointed out the drop in Mortalin expression level in the neurons of patients experiencing neurodegenerative diseases. Hence, it is tempting to speculate that increasing Mortalin expression in the brains of PD patients would improve neuronal outcomes.

It has been shown over the past decades that manipulating Mortalin expression alters survival in various organisms. The deletion of Mortalin homolog in yeast (SSC1) was shown to be lethal, while the proliferation of immortal cells was halted (Craig et al., 1987). Inversely, overexpression of Mortalin is sufficient to extend the lifespan of *C. Elegans* and human fibroblasts (Yokoyama et al., 2002; Kaul et al., 2003). In neuronal and non-neuronal cells lines, modulation of Mortalin expression does not affect their morphology, division, or lethality, but overexpression protects them from toxin-mediated toxicity, while knock-down of Mortalin gene enhances cellular damage (Jin et al., 2006; Burbulla et al., 2010; Zhu et al., 2013; Liu et al., 2015). Likewise, using rodent primary neuronal cultures, we recently demonstrated the perfect correlation between Mortalin expression level and mitochondrial function/morphology, ROS accumulation, and neuronal sensitivity to PD-related toxins such as rotenone (Ferré et al., 2021).

### Immunomodulation through Mortalin expression

As with most neurodegenerative diseases, PD occurs in a chronic inflammatory context. Neuroinflammation even participates in neuronal degeneration, with both activation of resident cells (microglia, astrocytes, tissue-resident macrophages) and a massive infiltration of peripheral innate and adaptive immune cells monocytes, macrophages, dendritic cells, lymphocytes; for review about the neuroinflammation in PD context (Weiss et al., 2022). Interestingly, both CNS-resident and infiltrated neuroinflammatory cells also exhibit mitochondrial and metabolic alterations that affect their relationships with neighboring neurons (Rose et al., 2017). The CNS being a confined environment with highly energetic demands, this results in competition for nutrients and oxygen that are essential for their metabolic activity and progressive nutrient starvation and oxidative stress (Szepesi et al., 2018). Hence, modulation of mitochondrial functions and ROS buffering in immune cells during PD might be beneficial to restore healthy reciprocal interactions with neurons and is currently under investigation for therapeutic purposes.

Targeting Mortalin expression in neuroimmune cells during PD might therefore be an interesting avenue. However, little literature relays the functions of Mortalin in immune cells. In a few papers, Mortalin has been shown to participate in the cellular elimination of complement C9 oligomers through the release of extracellular vesicles by ectocytosis (a variant of exocytosis) in response to complement-mediated cytotoxicity, which triggers a translocation of Mortalin from mitochondria towards the plasma membrane (Pilzer and Fishelson, 2005; Mazkereth et al., 2016). This is of particular interest, since  $\alpha$ -synuclein aggregation was recently found to induce complement-mediated toxicity in a neuroblastoma cell line (Gregersen et al., 2021), and might require a specific and dedicated study. On another hand, Mortalin over-expression was shown to reduce the pro-inflammatory activation of astrocytes, microglia, and macrophages in different CNS pathologies, mitigating neuronal death (Voloboueva et al., 2013; Joseph et al., 2017; Priyanka et al., 2020). Importantly, different studies pointed out a crucial role of T-lymphocytes in the progression of PD reviewed in (Baird et al., 2019)]. Despite the absence of studies analyzing the specific role of Mortalin in those cells, there is growing evidence that metabolic adaptation of T cells infiltrating the CNS might be a key pathogenic event in most neurological and neurodegenerative disorders, in which ER-mitochondria tethering sites appear

as immunometabolic hubs (Bantug et al., 2018; Fessler and Angiari, 2021). Overall, manipulation of Mortalin expression and function in neuroimmune cells could be of definite therapeutic interest for PD.

### Mortalin level detection in circulating fluids: a prognostic marker for Parkinson's disease?

High expression of Mortalin was associated with a broad spectrum of cancers, poor patient prognosis, and resistance to therapy (Cui et al., 2017; Krawczyk et al., 2018; Cheng et al., 2019; Li et al., 2019; Starenki et al., 2019; Xu et al., 2020; Kabakov and Gabai, 2021; Zhang et al., 2021; Meng et al., 2022). Interestingly, Mortalin itself or antibodies against Mortalin were also found to be elevated in the circulating fluids of patients with several types of tumors, in sera or inside plasmatic vesicles (Lu et al., 2015; Huang et al., 2019; Kabakov and Gabai, 2021). This led to the idea that Mortalin could be a serological biomarker of cancer prognosis and progression (Xu et al., 2019; Rai et al., 2021).

Cook (2014) detected a positive correlation between the presence of antibodies directed against Mortalin in PD patients' blood and the severity of the disease. Interestingly, a pilot study highlighted the presence of Mortalin in the sera of PD patients (Singh et al., 2018). In this study, Singh et al. found a 40% decrease in Mortalin amount in serum samples of PD patients compared to those of control healthy individuals, together with a slight but significant increase in seric  $\alpha$ -synuclein concentration. This study is quite preliminary and would require larger cohorts and a comparison of different progression stages of the disease, however, it suggests the potential use of seric Mortalin detection as a biomarker for PD diagnosis. Hence, more usefully than a postmortem observation, Mortalin expression and immunogenicity might represent potential biomarkers of PD progression. Given the recent development of the quick ELISA technic for Mortalin detection in body fluids (Garg et al., 2019), this approach might present a fascinating opportunity for further amelioration of PD patients' medical care.

## Conclusion

By comparing the clinical analyzes carried out on PD patients with the studies using animal and cellular models of the pathology, it appears that Mortalin is not *a priori* a susceptibility gene for PD but controls most of the cellular pathways involved in the degeneration of dopaminergic neurons. Accordingly, Mortalin is a hub for sensing mitochondrial stress, maintenance of calcium flux, dopamine catabolism, and synuclein aggregates toxicities. Moreover, its expression levels correlate with neuronal susceptibility since degenerative neurons display low Mortalin levels while overexpression of Mortalin would provide neuronal protection. Furthermore, the quantity of Mortalin found in body fluids (cerebrospinal fluid, serum) would coincide inversely with the pathological evolution, suggesting a prognostic and/or therapeutic potential. Mortalin is also a key actor and biomarker for several cancers. Therefore, it is difficult to speculate on a general enhancement of Mortalin as a therapeutical approach. However, various studies including ours, suggest that specific and controllable overexpression of Mortalin in neurons would overcome most of the neuronal dysfunctions at causing neurologic symptoms in this still incurable pathology.

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## Notice of Retraction

### Retraction: Ultrasound guided neural stem cell transplantation through the lateral ventricle for treatment of cerebral palsy in children

<https://doi.org/10.4103/1673-5374.346552>  
The Editors of *Neural Regeneration Research (NRR)* has retracted the publication entitled "Ultrasound guided neural stem cell transplantation through the lateral ventricle for treatment of cerebral palsy in children" (He et al., 2012; doi: 10.3969/j.issn.1673-5374.2012.32.007) following the concerns of duplicate publication according to *NRR* Policy on Article Retraction (<https://journals.lww.com/nrronline/Pages/instructionsforauthors.aspx>).

Figure 2 appears same as Figure 1 published in *Chin J Interv Imaging Ther* and the Discussion is heavily repetitive in its presentation (He et al., 2011).

In light of these concerns, the Editor-in-Chief has serious concerns about the fact that the image and main text in the study were previously published in *Chin J Interv Imaging Ther* (He et al., 2011).

SH verbally agreed with the retraction. ZL, SQ, XQ, DX, WJ, YS, ZY, TX could not be reached.

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